



17114 U.S. PTO

FORM PTO-1082

Case Docket No. 4161-A13

Commissioner of Patents and Trademarks
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

031356 U.S. PTO
10/779894



Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor: WENBIN JIANG; MICHAEL Y. CHENG
Entitled: INDEX GUIDED VCSEL AND METHOD OF FABRICATION

Enclosed are:

☒ 23 sheets of specification and claims

☒ 1 sheet(s) of drawings and 1 copy of same

☐ An Assignment of the invention to: _____.

☒ Declaration and Power of Attorney (X) Executed () Unexecuted

☒ Applicant is Small Entity

☒ Information Disclosure Statement

☒ Request concerning 18-month publication under 35 U.S.C. 122(b)

☐ Also enclosed: _____.

The filing fee has been calculated as shown below:

(Col. 1)		(Col.2)	SMALL ENTITY		LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE:	1		X385	= \$385	X770	= \$
TOTAL CLAIMS:	18	- 20 = 0	X 9	= \$ 0 or	X 18	= \$
INDEP CLAIMS:	4	- 3 = 1	X 43	= \$ 43 or	X 86	= \$
MULTIPLE DEPEND CLAIM PRESENTED			X145	= \$ or	X290	= \$
CHECK ENCLOSED:			TOTAL=	\$428	TOTAL=	\$

☐ Please charge the Deposit Account No. _____ in the amount of \$_____.

☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____.

☐ A duplicate copy of this transmittal sheet is enclosed.

Respectfully submitted,

2/17/04
Date

Robert A. Parsons
Robert A. Parsons, Reg. No. 32,713

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WENBIN JIANG; MICHAEL Y. CHENG)
Serial No.:
Filed: HEREWITH
Title: INDEX GUIDED VCSEL AND METHOD)
OF FABRICATION)

CERTIFICATE OF EXPRESS MAILING

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail Stop Patent Applications

"Express Mail" mailing label number: EV 408010999 US
Date of Deposit: 17 February 2004

Dear Sir:

I hereby certify that the attached Application Transmittal Form; Declaration and Power of Attorney, executed; Request Concerning Publication; Information Disclosure Statement; Application: Specification, fourteen (14) page(s); Claims, nine (9) page(s); Abstract, one (1) page(s); Informal Drawings, one (1) page(s) and one copy of same; check for appropriate fee; and a postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop Patent Applications, on 17 February 2004.

Lora Smitham
Signature

PARSONS & GOLTRY
340 East Palm Lane
Suite 260
Phoenix, Arizona 85004

(602) 252-7494

2-17-04
Date

Respectfully submitted,

Robert A. Parsons
Robert A. Parsons
Attorney for Applicant
Registration No. 32,713

REQUEST CONCERNING EIGHTEEN-MONTH PUBLICATION
UNDER 35 U.S.C. 122(b)

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Your applicants, Wenbin Jiang and Michael Y. Cheng, inventors of INDEX GUIDED VCSEL AND METHOD OF FABRICATION, application for which is submitted concurrently herewith (RAP Docket No. 4161-A13), certify that this application has not and will not be the subject of an application filed in another country, and respectfully request that the application not be published at eighteen months.

Respectfully submitted,



Robert A. Parsons

Attorney for Applicant

Registration No. 32,713

2/17/04
DATE

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Suite 260
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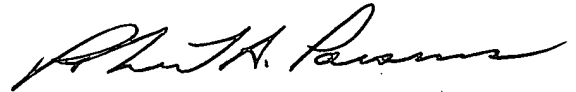
1 INFORMATION DISCLOSURE STATEMENT

2
3 TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

4
5 Your applicants, Wenbin Jiang and Michael Y. Cheng,
6 inventors of *INDEX GUIDED VCSEL AND METHOD OF FABRICATION*,
7 application for which is submitted concurrently herewith (RAP
8 Docket No. 4161-A13), as a duty of candor and good faith toward
9 the United States Patent and Trademark Office, by and through
10 the undersigned Attorney, hereby submit their information
11 disclosure statement in compliance with 37 C.F.R. 1.56.
12

13 Applicants are unaware of any information which is material
14 to examination or patentability of the present case.
15

16 Respectfully submitted,

17 

18 Robert A. Parsons

19 Attorney for Applicant

20 Registration No. 32,713

21 2/17/04

22 DATE

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